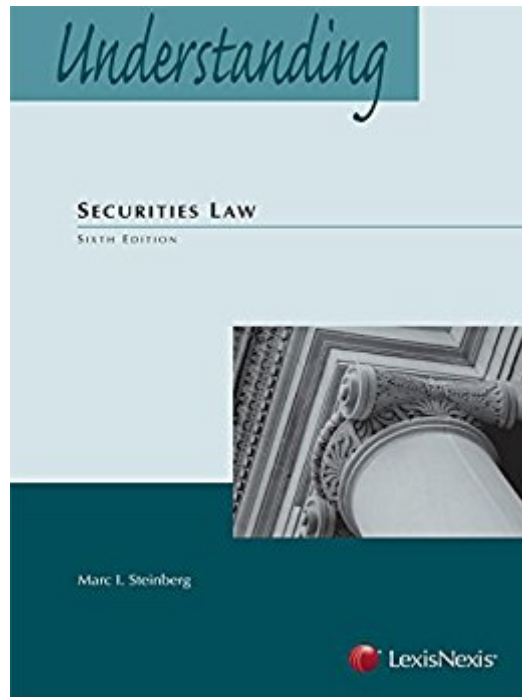


The book was found

# Understanding Securities Law



## Synopsis

This Understanding treatise follows a logical sequence of analysis of a securities issue. The author begins by defining a "security" and registration exemptions, and then continues through the process for non-exempt transactions. Understanding Securities Law clearly, thoroughly, and concisely addresses the subjects covered in basic Securities Regulation courses, including:â The definition of securities exemptions from registration;â the registration framework and process;â Sarbanes-Oxley Act;â SEC Securities Act Offering Rules;â Resales and reorganizations;â Due diligence;â Liabilities and remedies;â Affirmative disclosure duties;â Insider trading;â SEC enforcement; andâ Professional responsibility. The author also includes a glossary of key terms, statutes, rules, regulations and forms and schedules, and comparative charts synthesizing previously discussed materials. The text covers the regulation of public and privately held companies under the Securities Acts, SEC "fraud" concepts, civil liabilities under the securities laws, and state "Blue Sky" laws. It also discusses the Sarbanes-Oxley legislation, SEC public offering rules, SEC regulations on the resale of securities, and recent federal Supreme Court and appellate court decisions. The eBook version of this title feature links to Lexis Advance for further legal research options.

## Book Information

File Size: 5224 KB

Print Length: 550 pages

Publisher: LexisNexis; 6 edition (April 9, 2014)

Publication Date: April 9, 2014

Language: English

ASIN: B00SNDJ0ZM

Text-to-Speech: Enabled

X-Ray: Not Enabled

Word Wise: Enabled

Lending: Not Enabled

Enhanced Typesetting: Not Enabled

Best Sellers Rank: #617,065 Paid in Kindle Store (See Top 100 Paid in Kindle Store) #32

in Kindle Store > Kindle eBooks > Law > Business > Securities #112 in Books > Law >

Business > Securities #226975 in Kindle Store > Kindle eBooks > Nonfiction

## Customer Reviews

Great Hornbook for Sec. Reg. Goes through the 33 Act with precision and great detail.

Clear and concise.

[Download to continue reading...](#)

Recent Developments in Securities Law, 2016 ed.: Leading Lawyers on Understanding Important Legislation and Complying with SEC Rules and Regulations (Inside the Minds) Understanding Securities Law (2014) Understanding Securities Law Understanding Bergson, Understanding Modernism (Understanding Philosophy, Understanding Modernism) Working With Contracts: What Law School Doesn't Teach You, 2nd Edition (PLI's Corporate and Securities Law Library) Working with Contracts: What Law School Doesn't Teach You (PLI's Corporate and Securities Law Library) Property in Securities: A Comparative Study (Cambridge Studies in Corporate Law) Securities Law (Concepts and Insights) Gilbert Law Summaries on Securities Regulation, 7th Protecting Braden (Custos Securities Series Book 2) Securities Regulation (2013) Anglo-American Securities Regulation: Cultural and Political Roots, 1690-1860 Examples & Explanations: Securities Regulation, Sixth Edition Securities Regulation Statutory Supplement (Selected Statutes) Securities Regulation Cases and Materials: 2016 Supplement Securities Regulation (University Casebook Series) Securities Regulation in a Nutshell (Nutshells) Securities Regulation: Cases and Materials (American Casebook Series) Securities Regulation: Cases and Materials, 8th (American Casebook) [Hardcover] [2009] 8 Ed. Thomas Lee Hazen Securities Regulation: Selected Statutes Rules and Forms: 2016 Supplement

[Dmca](#)